12-08-04

Express Mail Label No. EV394066338US

Attorney Docket No. W1200-00040

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

e Application of: Aman Safaei

rial No.: 10/625,382

Group Art Unit: 3622

Filed: July 23, 2003

Examiner: not yet known

For: MARKETING ANALYSIS AND PLANNING SYSTEM AND METHOD

I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as "Express Mail Post Office to Addressee" Mailing Label Number EV394066338US to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

Date
Date
Joseph A. Powers

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## INFORMATION DISCLOSURE STATEMENT TRANSMITTAL LETTER

Dear Sir:

Enclosed herewith is an Information Disclosure Statement pursuant to 37 CFR. § 1.56 in connection with the above-identified application, which statement is being filed:

- [ ] Together with the present application.
- [X] Before the first Office Action on the merits or three (3) months from the filing date of this application, whichever occurs last. [37 CFR § 1.97(b)]
- [ ] After the first Office Action on the merits, but before a Final Office Action under §1.113 or Notice of Allowance under §1.311, whichever occurs first. [37 CFR § 1.97(c)]
- [ ] After a Final Office Action under §1.113 or Notice of Allowance under §1.311, but prior to or with payment of the Issue Fee. [37 CFR § 1.97(d)]

Consistent with Applicant's obligations pursuant to 37 CFR §§1.97 and 1.98, the following requirements have been met:

- [X] No separate requirements are needed.
- [X] No additional fee is required.

#### [ ] <u>Fee Under 37 CFR § 1.97(c)</u>

The fee of \$180.00 for submission of an IDS under § 1.97(c) as set forth in § 1.17(p) accompanies this statement.

### [ ] Fee Under 37 CFR § 1.97(d)

The fee of \$180.00 for submission of an information disclosure statement under § 1.97(d) set forth in § 1.17(p) accompanies this statement.

### [ ] Certification Under 37 CFR § 1.97(e)

- ( ) Each item of information contained in this statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement; or
- ( ) No item of information contained in this statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the statement after making reasonable inquiry, no item of information contained in this statement was known to any individual designated in §1.56(c) more than three months prior to the filing of this statement.

### Provision of Copies of References

| [X] | A copy of each of the non-U.S. patent references listed on the attached Form |
|-----|--|
|     | PTO-1449 is enclosed herewith and forms a part hereof.                       |

| [] | Translations or partial translation of the indicated references are enclosed |
|----|--|
|    | herewith and form a part hereof.   |

[ ] A copy of the Search Report from a corresponding British application is enclosed herewith.

[ ] A copy of the International Search Report from a corresponding or related PCT application is enclosed herewith.

# Identification of Prior Application(s) In Which Listed Information Was Already Cited And For Which No Copies Are Submitted Or Need Be Submitted

- [ ] This application relies, under 35 U.S.C. § 120, on the earlier filing date of prior U.S. Application No(s). . The following references were submitted to, and/or cited by, the Office in the prior application(s) and therefore are not required to be provided in this application:
- [x] The Commissioner is hereby authorized to charge any fees associated with this communication or credit any overpayment to Deposit Account No. <u>04-1679</u>. A duplicate copy of this transmittal is attached.

Respectfully submitted,

DATE: 12/104

Joseph A. Powers Registration No. 47,006 Attorney for Applicant(s)

DUANE MORRIS LLP One Liberty Place Philadelphia, PA 19103-7396 Telephone: 215-979-1842

Fax: 215-979-1020

PTO/SB/08A (10-01)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are equired to respond to a collection of information unless it contains a valid OMB control number

| Sı                     | ubstitute for fo | orm 144  | 9A/PTOTE TRADEM | Complete if Known      |                  |  |
|------------------------|------------------|----------|-----------------|------------------------|------------------|--|
|                        |                  |          |                 | Application Number     | 10/625,382       |  |
|                        | RMATION          |          |                 | Filing Date            | July 23, 2003    |  |
| STATEMENT BY APPLICANT |                  |          |                 | First Named Inventor   | Aman Safaei      |  |
| (use                   | as many she      | ets as r | ecessary)       | Art Unit               | 3622             |  |
| (430                   | as many one      | 000 00 1 | 1000304137      | Examiner Name          | Not Yet Assigned |  |
| Sheet                  | 1                | of       | 1               | Attorney Docket Number | W1200-00040      |  |

|                      | U.S. PATENT DOCUMENTS  |          |  |  |   |  |  |  |
|----------------------|--|----------|--|--|---|--|--|--|
| Examiner<br>Initials | aminer Cite Document Number ials No. Number-Kind Code (If known) |          | Publication Date Name of Patentee or Applicant of Cited Document |  | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant<br>Figures Appear |  |  |  |
| ·                    | Α  | US-      | 6,381,605  | 04/30/02   | Kothuri et al.  |  |  |  |
|                      | В  | US-      | 5,796,932  | 08/18/98   | Fox et al.  |  |  |  |
|                      |  |          |  |  |   |  |  |  |
|                      |  |          | · · · · · · · · · · · · · · · · · · ·                            |  |   |  |  |  |
|                      |  | <u> </u> |  |  |   |  |  |  |
|                      |  |          |  |  |   |  |  |  |
|                      |  |          |  |  |   |  |  |  |
|                      |  | -        |  |  |   |  |  |  |
|                      | i<br>i   |          |  | <del>                                     </del> |   |  |  |  |
|                      | <u> </u>   |          |  |  |   |  |  |  |
|                      |  |          |  |  |   |  |  |  |
|                      |  |          |  |  |   |  |  |  |
|                      |  |          |  |  |   |  |  |  |
| <u></u>              |  |          |  |  |   |  |  |  |
|                      |  |          |  |  |   |  |  |  |
|                      |  |          |  |  |   |  |  |  |
|                      |  |          |  |  |   |  |  |  |
|                      |  |          |  |  |   |  |  |  |
|                      |  |          |  |  |   |  |  |  |

#### **FOREIGN PATENT DOCUMENTS**

| Examiner | Cite | Foreign Patent Document                     |                               |  | Pages, Columns, Lines                     | Т            |
|----------|------|---|-------------------------------|--|---|--------------|
| Initials | No.  | Country Code –Number – Kind Code (if known) | Publication Date<br>MM-DD-YYY | Name of Patentee or<br>Applicant of Cited Document | Where Relevant Passages or Figures Appear |              |
|          |      |   |                               |  |   |              |
|          |      |   |                               |  |   | <del> </del> |
| <u> </u> |      |   |                               |  |   |              |
|          |      |   |                               |  |   |              |
|          |      |   |                               |  |   |              |
| <u>.</u> |      |   |                               |  |   |              |

| Examiner  | Date       |  |
|-----------|------------|--|
| Signature | Considered |  |

<sup>\*</sup>Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

PTO/SB/08A (10-01)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number

|              | Substitute for form | 1449B/P                | то          | Complete if Known    |                  |  |
|--------------|---------------------|------------------------|-------------|----------------------|------------------|--|
|              |                     |                        |             | Application Number   | 10/625,382       |  |
|              | FORMATION D         |                        |             | Filing Date          | July 23, 2003    |  |
| ST           | ATEMENT BY          | APPLIC                 | CANT        | First Named Inventor | Aman Safaei      |  |
| 6            | ise as many sheets  | e ae nacae             | (vaces      | Art Unit             | 3622             |  |
| (0           | ise as many sneed   | 33 116063              | ssary)      | Examiner Name        | Not Yet Assigned |  |
| Sheet 2 of 2 |                     | Attorney Docket Number | W1200-00040 |                      |                  |  |

| OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS |             |   |   |  |  |  |  |
|---|-------------|---|---|--|--|--|--|
| Examiner<br>Initials                              | Cite<br>No. | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the Item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher city and/or country where published | Т |  |  |  |  |
|   | С           | International Search Report dated November 30, 2004 for PCT Application No. PCT/US03/28408.   |   |  |  |  |  |
|   |             |   |   |  |  |  |  |
|   |             |   |   |  |  |  |  |
|   |             |   |   |  |  |  |  |
| •   |             |   |   |  |  |  |  |
|   |             |   |   |  |  |  |  |
|   |             |   |   |  |  |  |  |
|   |             |   |   |  |  |  |  |
|   |             |   |   |  |  |  |  |
|   |             |   |   |  |  |  |  |
|   |             |   |   |  |  |  |  |
|   |             |   |   |  |  |  |  |
|   |             |   |   |  |  |  |  |
|   |             |   |   |  |  |  |  |
|   |             |   |   |  |  |  |  |
|   |             |   |   |  |  |  |  |

| Examiner  | Date       |  |
|-----------|------------|--|
| Signature | Considered |  |

<sup>\*</sup>Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.